KINGSWOOD

TRADE CORRECTION APPROVAL FORM

Type of Trade Correction Change – Place an "X" of a check mark to identify the appropriate correction change

_____ Commission _____ Type 1 or 2 _____ Account Number ____ **Market Action**

_____ Equity _____ Bond _____ Option

Trade Date: _____ Settlement Date: _____

Account Name: _____ Account Number: _____

_____ Buy ____ Sell _____ Put _____ Call

Quantity _____ Symbol/CUSIP _____

Security Description

_____

Price $_____ Commission $_____

Explanation for correction

Rep Name _____ Rep Code _____

Rep Signature _____ Date _____

BM Name _____ BM Signature _____ Date_____

Trader Name_____ Trade Signature_____ Date_____